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**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John J. Giobbi

Serial No.: 09/750,487

Filed: December 27, 2000

For: Digital Rights Management System and  
Method

Group Art Unit: 2131

Examiner: Unknown

Atty. Dkt. No.: MD-1

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION FILED UNDER 37 C.F.R. § 1.53(b)**

**BOX MISSING PART**

Commissioner for Patents  
Washington, D.C. 20231

Dear Commissioner:

<b>CERTIFICATE OF MAILING</b> 37 C.F.R 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Box MISSING PARTS, Washington, DC 20231, on the date below:	
<i>9/11/01</i> Date	<i>Michael Blant</i> Signature

In response to the Notice to File Missing Parts of Nonprovisional Application Filed  
Under 37 C.F.R. § 1.53(b), dated 02/20/2001, there are enclosed herewith:

- (a) Our check in the amount of \$480.00 to cover the basic filing fee for a small entity;
- (b) Our check in the amount of \$65.00 to cover the surcharge for a late filing fee for a small entity as set forth in 37 C.F.R. § 1.16(e);
- (c) A petition for an extension of time under 37 C.F.R. § 1.136(a), accompanied by our check in the amount of \$945.00 to cover the extension fee for a reply in the fifth month for a small entity as set forth in 37 C.F.R. § 1.17(a);
- (d) Substitute drawings in compliance with 37 C.F.R. § 1.84;
- (e) A copy of the Notice to File Missing Parts of Application-Filing Date Granted;
- (f) A copy of the Patent Application Transmittal Letter that appears to have been misplaced by the PTO. As noted on Transmittal Letter, Applicant is claiming small entity status under 37 C.F.R. § 1.27 and therefore all fees submitted herewith are for a small entity; and

- (g) A copy of the Information Disclosure Statement, PTO Form-1449, and References filed with the application, in the event these documents have been misplaced as well.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Date: September 11, 2001



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Attorney for Applicant

*HB*

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/750,487	12/27/2000	John J. Giobbi	

## CONFIRMATION NO. 5898

## FORMALITIES LETTER



\*OC00000005780431\*

John J. Giobbi  
 1980 Philips  
 Northbrook, IL 60062

Date Mailed: 02/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/17/2001 BNGUYEN1 00000097 09750487

02 FC:205 65.00 OP  
 03 FC:201 355.00 OP  
 04 FC:203 45.00 OP  
 05 FC:202 80.00 OP

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$250.
  - \$90 for 5 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1090.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*SM*

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE